

**CALIFORNIA  
SDM® FAMILY RISK ASSESSMENT**

r: 11/17

**Referral Name:** \_\_\_\_\_ **Referral #:** \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ **Date:** \_\_\_\_/\_\_\_\_/\_\_\_\_

**County Name:** \_\_\_\_\_ **Worker Name:** \_\_\_\_\_ **Worker ID#:** \_\_\_\_\_

<b>PRIOR INVESTIGATIONS</b>	<b>Neglect</b>	<b>Abuse</b>
<b>1. Prior neglect investigations</b>		
<input type="radio"/> a. No prior neglect investigations	0	0
<input type="radio"/> b. One prior neglect investigation	0	1
<input type="radio"/> c. Two prior neglect investigations	1	1
<input type="radio"/> d. Three or more prior neglect investigations	2	1
<b>2. Prior abuse investigations</b>		
<input type="radio"/> a. No prior abuse investigations	0	0
<input type="radio"/> b. One prior abuse investigation	1	0
<input type="radio"/> c. Two prior abuse investigations	1	1
<input type="radio"/> d. Three or more prior abuse investigations	1	2
<b>3. Household has previous or current open ongoing CPS case (voluntary/court ordered)</b>		
<input type="radio"/> a. No	0	0
<input type="radio"/> b. Yes, but not open at the time of this referral	1	1
<input type="radio"/> c. Yes, household has open CPS case at the time of this referral	2	2
<b>4. Prior physical injury to a child resulting from child abuse/neglect or prior substantiated physical abuse of a child</b>		
<input type="radio"/> a. None/not applicable	0	0
<input type="radio"/> b. One or more apply ( <i>select all applicable</i> )	0	1
<input type="checkbox"/> Prior physical injury to a child resulting from child abuse/neglect		
<input type="checkbox"/> Prior substantiated physical abuse of a child		

<b>CURRENT INVESTIGATION</b>	<b>Neglect</b>	<b>Abuse</b>
<b>5. Current report maltreatment type (<i>select all applicable</i>)</b>		
<input type="checkbox"/> a. Neglect	1	0
<input type="checkbox"/> b. Physical and/or emotional abuse	0	1
<input type="checkbox"/> c. None of the above	0	0
<b>6. Number of children involved in the child abuse/neglect incident</b>		
<input type="radio"/> a. One, two, or three	0	0
<input type="radio"/> b. Four or more	1	1
<b>7. Primary caregiver assessment of the incident</b>		
<input type="radio"/> a. Caregiver does not blame the child	0	0
<input type="radio"/> b. Caregiver blames the child	0	1

<b>FAMILY CHARACTERISTICS</b>	<b>Neglect</b>	<b>Abuse</b>
8. Age of youngest child in the home		
<input type="radio"/> a. 2 years or older	0	0
<input type="radio"/> b. Under 2	1	0
9. Characteristics of children in the household		
<input type="radio"/> a. Not applicable	0	0
<input type="radio"/> b. One or more present ( <i>select all applicable</i> )		
<input type="checkbox"/> Mental health or behavioral problems	1	1
<input type="checkbox"/> Developmental disability		
<input type="checkbox"/> Learning disability		
<input type="checkbox"/> Physical disability		0
<input type="checkbox"/> Medically fragile or failure to thrive		
10. Housing		
<input type="radio"/> a. Household has physically safe housing	0	0
<input type="radio"/> b. One or more apply ( <i>select all applicable</i> )	1	0
<input type="checkbox"/> Physically unsafe; AND/OR <input type="checkbox"/> Family homeless		
11. Incidents of domestic violence in the household in the past year		
<input type="radio"/> a. None or one incident of domestic violence	0	0
<input type="radio"/> b. Two or more incidents of domestic violence	0	1
12. Primary caregiver disciplinary practices		
<input type="radio"/> a. Employs appropriate discipline	0	0
<input type="radio"/> b. Employs excessive/inappropriate discipline	0	1
13. Primary or secondary caregiver history of abuse or neglect as a child		
<input type="radio"/> a. No history of abuse or neglect for either caregiver	0	0
<input type="radio"/> b. One or both caregivers have a history of abuse or neglect as a child	1	1
14. Primary or secondary caregiver mental health		
<input type="radio"/> a. No past or current mental health problem	0	0
<input type="radio"/> b. Past or current mental health problem ( <i>select all applicable</i> )	1	1
<input type="checkbox"/> During the past 12 months		
<input type="checkbox"/> Prior to the last 12 months		
15. Primary or secondary caregiver alcohol and/or drug use		
<input type="radio"/> a. No past or current alcohol/drug use that interferes with family functioning	0	0
<input type="radio"/> b. Past or current alcohol/drug use that interferes with family functioning ( <i>select all applicable</i> )	1	1
<input type="checkbox"/> Alcohol ( <input type="checkbox"/> Last 12 months and/or <input type="checkbox"/> Prior to the last 12 months)		
<input type="checkbox"/> Drugs ( <input type="checkbox"/> Last 12 months and/or <input type="checkbox"/> Prior to the last 12 months)		
16. Primary or secondary caregiver criminal arrest history		
<input type="radio"/> a. No caregiver has prior criminal arrests	0	0
<input type="radio"/> b. Either caregiver has one or more criminal arrests	1	0
	<b>Neglect</b>	<b>Abuse</b>
<b>TOTAL SCORE</b>		

**SCORED RISK LEVEL.** Assign the family's scored risk level based on the highest score on either the neglect or abuse indices, using the following chart.

<b>Neglect Score</b>	<b>Abuse Score</b>	<b>Scored Risk Level</b>
<input type="radio"/> 0–2	<input type="radio"/> 0–1	<input type="radio"/> Low
<input type="radio"/> 3–5	<input type="radio"/> 2–4	<input type="radio"/> Moderate
<input type="radio"/> 6–8	<input type="radio"/> 5–7	<input type="radio"/> High
<input type="radio"/> 9 +	<input type="radio"/> 8 +	<input type="radio"/> Very high

**OVERRIDES**

**Policy Overrides.** Select yes if a condition shown below is applicable in this case. If any condition is applicable, override the final risk level to very high.

- Yes     No    1. Sexual abuse case AND the perpetrator is likely to have access to the child.
- Yes     No    2. Non-accidental injury to a child under age 2.
- Yes     No    3. Severe non-accidental injury.
- Yes     No    4. Caregiver action or inaction resulted in the death of a child due to abuse or neglect (previous or current).

**Discretionary Override.** If a discretionary override is made, select yes, increase risk by one level, and indicate reason.

- Yes     No    5. If yes, override risk level (select one):     Moderate     High     Very High
- Discretionary override reason: \_\_\_\_\_

Supervisor's Review/Approval of Discretionary Override: \_\_\_\_\_ Date: \_\_\_\_\_

**FINAL RISK LEVEL** (select final level assigned):     Low     Moderate     High     Very high

**RECOMMENDED DECISION**

<b>Final Risk Level</b>	<b>Recommendation</b>
Low	Do not promote*
Moderate	Do not promote*
High	Promote
Very high	Promote

\*Unless there are unresolved safety threats.

**PLANNED ACTION**

- Promote
- Do not promote

If recommended decision and planned action do not match, explain why:

\_\_\_\_\_

## SUPPLEMENTAL RISK ITEMS

Note: These items should be recorded but are not scored.

1. Either caregiver demonstrates difficulty accepting one or more children's gender identity or sexual orientation.  
 a. No  
 b. Yes
  
2. Alleged perpetrator is an unmarried partner of the primary caregiver.  
 a. No  
 b. Yes
  
3. Another non-related adult in the household provides unsupervised child care to a child under the age of 3.  
 a. No  
 b. Yes  
 c. N/A
  
- 3a. Is the other non-related adult in the household employed?  
 a. No  
 b. Yes  
 c. N/A
  
4. Either caregiver is isolated in the community.  
 a. No  
 b. Yes
  
5. Caregiver has provided safe and stable housing for at least the past 12 months.  
 a. No  
 b. Yes